

DECLARATION FOR PATENT APPLICATION

As below-named inventors, we hereby declare that:

Our residences, post office addresses, and citizenships are as stated below next to our names.

We believe we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**POLYCYSTIC KIDNEY DISEASE GENE HOMOLOGS REQUIRED FOR
MALE MATING BEHAVIOR IN NEMATODES AND ASSAYS BASED THEREON**

the specification of which

- () is attached hereto.
 (X) was filed by an authorized person on my behalf on January 6, 2000 as Application Serial No. 09/479,467.
 () and amended by a Preliminary Amendment filed _____.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below and so identified, or §365(a) of any PCT international application that designated at least one country other than the United States of America, listed below, and we have also identified below any foreign application for patent or inventor's certificate or PCT international application on this invention filed by us or our legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

Priority
Claimed
(Yes or No)

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>
N/A		

We hereby claim benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing Date</u>
60/115,127	

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
N/A		

<u>PCT Application No.</u>	<u>Filing Date</u>	<u>Status</u>
N/A		

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to Stephanie Seidman, HELLER EHRMAN WHITE & McAULIFFE, 4250 Executive Square, 7th Floor, La Jolla, California 92037-9103:

<u>Attorney</u>	<u>Reg. No.</u>
Stephanie Seidman	33,779
Gary Silverstein	39,372
Peng Chen	43,543
Paula K. Schoeneck	39,362
Dale L. Rieger	43,045

Address for correspondence: Stephanie Seidman
HELLER EHRMAN WHITE &McAULIFFE
4250 Executive Square
7th Floor
La Jolla, CA 92037-9103

Full name of joint inventor: Paul W. Sternberg

Inventor's signature: Paul W. Sternberg

Date: Feb. 2, 2000

Residence: Pasadena, California

Post Office Address: 1782 Rose Villa Street, Pasadena, California 91106

Citizenship: U.S.A.

Full name of joint inventor: Maureen M. Barr

Inventor's signature: Maureen M Barr

Date: 2/8/00

Residence: Pasadena, California

Post Office Address: 2412 Seneca St., Pasadena, California 91107

Citizenship: U.S.A.

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PATENT DOCKET NO. 06618/391001/CIT2919

Applicant or Patentee: Paul W. Sternberg et al.

Serial or Patent No.:

Filed or Issued:

For:

1/6/99

CAENORHABDITIS ELEGANS STRAINS PERTURBED IN POLYCYSTIN FUNCTION

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR 1.9(c) and 1.27(d) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: California Institute of Technology
Address of Organization: 1200 East California Blvd.
Type of Organization:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(c) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
NAME OF STATE:)
CITATION OF STATUTE:)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(c) and 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF
AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
NAME OF STATE:)
CITATION OF STATUTE:)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled CAENORHABDITIS ELEGANS STRAINS PERTURBED IN POLYCYSTIN FUNCTION by inventor(s) PAUL W. STERNBERG AND MAUREEN H. BARR described in

- ☒ the specification filed herewith.
☐ application serial no. , filed .
☐ patent no. , issued .

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name:

Address:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that those statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name:

Adam Cochran

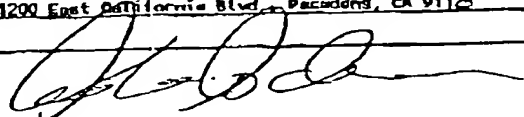
Title:

The Intellectual Property Counsel

Address:

1200 East California Blvd., Pasadena, CA 91125

Signature:



Date:

January 6, 1999